



IPW

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of:

M. Okada, et al.

Confirmation No.: 5067

Serial No. 10/525,079

Art Unit: 2633

Filed: 2/18/05

Examiner: unknown

For: RECORDING METHOD, RECORDING AND REPRODUCING METHOD, REPRODUCING METHOD, RECORDING DEVICE, RECORDING AND REPRODUCING DEVICE, AND REPRODUCING DEVICE FOR OPTICAL INFORMATION RECORDING AND REPRODUCING MEDIUM, AND THE OPTICAL INFORMATION RECORDING AND REPRODUCING MEDIUM

REQUEST FOR CORRECTED FILING RECEIPT

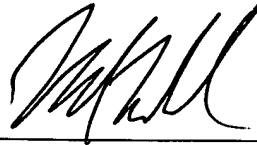
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned respectfully requested a Corrected Filing Receipt for the above-identified application. More specifically, the title should read: RECORDING METHOD, RECORDING AND REPRODUCING METHOD, REPRODUCING METHOD, RECORDING DEVICE, RECORDING AND REPRODUCING DEVICE, AND REPRODUCING DEVICE FOR OPTICAL INFORMATION RECORDING AND REPRODUCING MEDIUM, AND THE OPTICAL INFORMATION RECORDING AND REPRODUCING MEDIUM as presented in the Preliminary Amendment filed on September 2, 2005. A copy of the OFR is attached with the correction shown in red ink. Also attached is a copy of the Preliminary Amendment filed 9/2/05 with the PTO stamped postcard receipt in which the title was amended.

It is believed that no fee is required. If it is determined that a fee is due, please charge Deposit Account No. 50-2041.

Respectfully submitted,



Michael E. Whitham
Reg. No. 32,635

Date: Jan. 18, 2006

Whitham Curtis & Christofferson, PC
11491 Sunset Hills Road - #340
Reston, VA 20190
Customer No. 30743



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/525,079	02/18/2005	2633	1700	01460048AA	7	10	7

CONFIRMATION NO. 5067

30743
 WHITHAM, CURTIS & CHRISTOFFERSON, P.C.
 11491 SUNSET HILLS ROAD
 SUITE 340
 RESTON, VA 20190

FILING RECEIPT



OC000000016754244

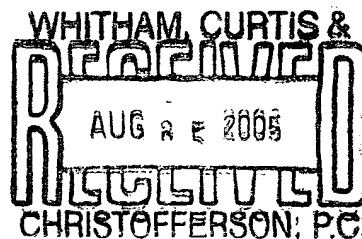
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Date Mailed: 08/22/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mitsuya Okada, Tokyo, JAPAN;
 Toshiaki Iwanaga, Tokyo, JAPAN;
 Takeharu Shibamoto, Tokyo, JAPAN;
 Hideki Tanabe, Tokyo, JAPAN;
 Masashi Kubota, Tokyo, JAPAN;
 Masaki Nakano, Tokyo, JAPAN;
 Satoshi Sugaya, Tokyo, JAPAN;



Power of Attorney: The patent practitioners associated with Customer Number 30743.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/10567 08/21/2003

Foreign Applications

JAPAN 2002-240122 08/21/2002

COPY

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

Title

Optical information recording/reproduction medium recording method, recording/reproduction method, and reproduction method, recording device, recording/reproduction device, reproduction device, and optical information recording/reproduction medium

Preliminary Class

398

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*See Phil Arnoldt
filed 9/2/05 - attached*

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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NOT GRANTED

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Docket No. 0146609 Baan In re: ☒ patent/ ☐ trademark application of

Applicant(s) M. Okada et al

Serial No. 10/525,079 Date Filed 2-18-05

Papers filed herewith on 9-2-05

___ Fees \$ ___ Deposit Account No. (if applicable) ___
(___ filing fee; ___ Assignment charge; ___ Extension of Time;
___ issue fee/advance copies; ___ other ___)

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☒ Amendment Phil ___ Notice of Appeal ___ Appeal Brief
___ Sheets of ___ Drawings ___ Proposed Drawing Corrections (w/ ___ drawings)
___ Change of Address ___ Request for Extension of Time
___ Assignment ___ Recordation Form Cover Sheet
___ Information Disclosure Statement ___ PTO-1449 and associated art (___ docs.)
___ Priority Document(s) ___ Other ___

M. Okada

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**TRANSMITTAL LETTER
(General - Patent Pending)**

Docket No.
01460048aa

In Re Application Of: N. Okada, et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10,525,079	2/18/05	not assigned	30743	2633	5067

Title: **Recording Method, Recording and Reproducing Method, Reproducing Method, Recording Device, Recording and Reproducing Device, etc.**

COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Preliminary Amendment
Postcard**

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in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-2041** as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Dated: 9/2/05

Signature

**Michael E. Whitham
Reg. No. 32,635**

**Whitham, Curtis & Christofferson, PC
11491 Sunset Hills Road - #340
Reston, VA 20190
703/787-9400
Customer No. 30743**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

(Date)

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

N. OKADA et al.

Confirmation No. 5067

Serial No.: 10/525,079

Examiner: not yet assigned

Filing Date: February 18, 2005

Group Art Unit: 2633

For: RECORDING METHOD, RECORDING AND REPRODUCING METHOD, REPRODUCING METHOD, RECORDING DEVICE, RECORDING AND REPRODUCING DEVICE, AND REPRODUCING DEVICE FOR OPTICAL INFORMATION RECORDING AND REPRODUCING MEDIUM, AND THE OPTICAL INFORMATION RECORDING AND REPRODUCING MEDIUM

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

PRELIMINARY AMENDMENT

Sir:

Prior to examination on the merits of this application and prior to calculating the filing fees, please amend the above-identified application as follows:

Amendments to the Title begin on page 2 of this paper;

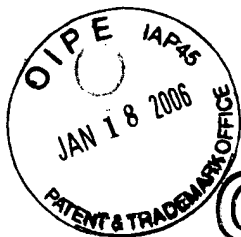
There are no Amendments to the specification.

There are no Amendments to the claims.

Remarks begin on page 3 of this paper.

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IN THE TITLE:



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Please replace the original title with the one noted below:

RECORDING METHOD, RECORDING AND REPRODUCING METHOD,
REPRODUCING METHOD, RECORDING DEVICE, RECORDING AND
REPRODUCING DEVICE, AND REPRODUCING DEVICE FOR OPTICAL
INFORMATION RECORDING AND REPRODUCING MEDIUM, AND THE OPTICAL
INFORMATION RECORDING AND REPRODUCING MEDIUM

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REMARKS



The title is being changed to reflect a more accurately descriptive title.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael E. Whitham".

Michael E. Whitham
Registration No. 32,635

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Reston, Virginia 20190
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CUSTOMER NUMBER 30743

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